

PRESTON DuFAUCHARD
California Corporations Commissioner
ALAN S. WEINGER
Deputy Commissioner
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DEPARTMENT OF CORPORATIONS
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Attorneys for Complainant

BEFORE THE DEPARTMENT OF CORPORATIONS
OF THE STATE OF CALIFORNIA

In the Matter of)	CASE NO. OAH# 2011030171
)	
THE CALIFORNIA CORPORATIONS)	FILE NO. CRD# 2214419
COMMISSIONER,)	
)	ORDER DENYING THE INVESTMENT
Complainant,)	ADVISER CERTIFICATE APPLICATION OF
v.)	WANDA PITTMAN SEARS AND BARRING
)	WANDA PITTMAN SEARS FROM ANY
)	POSITION OF EMPLOYMENT,
WANDA PITTMAN SEARS,)	MANAGEMENT OR CONTROL OF ANY
)	INVESTMENT ADVISER, BROKER-DEALER
Respondent.)	OR COMMODITY ADVISER
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On December 29, 2010, the California Corporations Commissioner (“Commissioner”) issued a Statement Of Issues and Accusation in Support of Notice of Intention to Issue Orders: (1) Denying the Investment Adviser Certificate Application of Wanda Pittman Sears (“Sears”) pursuant to Corporations Code section 25232, subdivisions (a), (d)(3) and (h); and, (2) Barring Sears from Any Position of Employment, Management or Control of Any Investment Adviser, Broker-Dealer or Commodity Adviser pursuant to Corporations Code section 25232.1.

DENIAL AND BAR ORDER

1 On or about April 1, 2011, the parties entered into a Stipulation whereby Sears consents to
2 the issuance of this Order.

3 NOW GOOD CAUSE APPEARING THEREFORE, it is hereby ordered that the investment
4 adviser certificate application of Wanda Pittman Sears is denied pursuant to Corporations Code
5 section 25232, subdivisions (a), (d)(3) and (h), and Wanda Pittman Sears is hereby barred from any
6 position of employment, management or control of any investment adviser, broker-dealer or
7 commodity adviser pursuant to Corporations Code section 25232.1. This order is effective as of the
8 date hereof.

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10 DATED: April 8, 2011
11 Los Angeles, CA

PRESTON DUFAUCHARD
California Corporations Commissioner

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13 By _____
14 ALAN S. WEINGER
15 Deputy Commissioner
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DENIAL AND BAR ORDER
